

Report for: Cabinet – 9 March 2021

Title: 2020/21 Finance Update Quarter 3 (Period 9)

Report

Authorised by: Jon Warlow – Chief Finance Officer & Section 151 Officer

Lead Officer: Frances Palopoli – Head of Corporate Financial Strategy & Monitoring

Ward(s) Affected: N/A

**Report for Key/
Non-Key Decision** Key

1. Introduction

- 1.1 This budget monitoring report covers the position at Quarter 3 (Period 9) of the 2020/21 financial year including General Fund (GF) Revenue, Capital, Housing Revenue Account (HRA) and Dedicated Schools Grant (DSG) budgets. The report focuses on significant budget variances including those arising as a result of the forecast non-achievement of Cabinet approved MTFS savings but more significantly, the impact that Covid-19 is forecast to have on the Council's financial plans.
- 1.2 The Budget/Medium Term Financial Strategy (MTFS) 2020/21-2024/25 report agreed by Full Council in February 2020 took actions to de-risk the base budget position as far as possible within the resources available. This mainly focussed on addressing the on-going demand pressure in the Adults services but also the writing out of limited savings deemed no longer achievable. Since the budget was agreed, the lock-downs and other Government directed actions taken in response to the Covid-19 pandemic have significantly impacted on the Council's agreed Budget and Borough Plan delivery.
- 1.3 The forecasts provided in this report are as up to date as possible and, where relevant, differentiate between the impact of Covid-19 on agreed budgets and MTFS savings as distinct from other base budget issues. The former are based on the most recent (January 2021) return to central government (i.e. at month 9 of the financial year). There still remains uncertainty about the eventual impact of the pandemic on the final 2020/21 outturn position, not least associated with the identification of new variants and more positively, the rollout of the vaccine. It must also now be recognised that we will continue to be impacted by the pandemic into the next financial year. The financial planning work undertaken to set the 2021/22 Budget continued as normal to identify and respond to issues and risks within local control but, as regards the pandemic, continues to assume that these will be met by central government.
- 1.4 The overall forecast General Fund year end position has improved by c.£2m and now stands at £42.9m (Qtr2 £44.6m). The forecast budgetary impact of C19 has remained largely constant since last reported, at £38m. When totalling the un-ringfenced emergency grant funding received and the estimated compensation from lost sales, fees and charges income, the unfunded forecast C19 impact remains consistent with Qtr2 at £3.6m which the authority still expects to be met via further government support.

- 1.5 The non-C19 General Fund related pressure has decreased to £4.5m (£5.9m Qtr 2), largely due to improved income projections, which is a positive direction of travel. It remains the assumption that Directors will continue to identify measures to mitigate this down further before the end of the financial year. Any residual pressure will be met from utilisation of the budget contingency.

2. **Cabinet Member Introduction**

- 2.1 The impact of Covid-19 on our communities and our agreed plans cannot be underestimated and the financial impact on the current year's agreed budget is set out very clearly in this report. It is critical that I keep Cabinet, all elected members and our residents and businesses informed of this impact but also our response to this pandemic.
- 2.2 Further specific grants have been received from central government since my last report which is welcome but, as can be seen clearly from the tables at the start of this report, officers continue to forecast a gap between the estimated impact on our budgets and the government funding provided. The rollout of the vaccine and the recently announced roadmap for lifting the lockdown in England is positive news however there will continue to be great uncertainty over the coming months so I will therefore re-emphasise now that we fully expect ministers to stand by their statements that government stands ready to do whatever is necessary to support councils in their response to coronavirus.
- 2.3 The report also provides a forecast of non-Covid19 related pressures which I am pleased to report have come down since the last quarter and I know that Lead members will continue to work closely with officers to identify and implement strategies to mitigate against these across the final quarter of the year.
- 2.4 We have been through 10 years of financial austerity and look set to face further significant turbulence on the back of the continuing pandemic and the impact of Brexit as we wait to see how this will impact on the UK economy. It therefore remains critical that we maintain our strong financial management at this time in order that we can continue to do the best for all who live and work in our Borough.

3. **Recommendations**

Cabinet is recommended to:

- 3.1. Note the forecast revenue outturn for the General Fund (GF), including the impact of Covid, and known and estimated levels of announced Covid funding, is a net overspend of £8.1m (Q2 £9.8m). This is before any further emergency grant support (Section 6, Tables 1a and 1b, and Appendix 1). This excludes the DSG forecast.
- 3.2. Note that Directors have been asked to focus on actions to bring the forecast overspend down before the end of the year.
- 3.3. Note the net Housing Revenue Account (HRA) forecast of £4.2m (Q2 £4.2m) overspend (Section 6, Table 2, and Appendix 2).

- 3.4. Note the net DSG forecast of £6.5m (Q2 £5.3m) overspend, the actions being taken to seek to address this and the potential implications for the GF (Section 7 and Table 3).
- 3.5. Note the forecast budget savings position in 2020/21 which indicates that £7.7m (47%) (Q2 £7.3m (45%)) may not be achieved. (Section 8, Table 4 and Appendix 3). This is incorporated in the GF budget pressures addressed in recommendation 3.1 above.
- 3.6. Approve the proposed budget adjustments and virements to the capital programme as set out in Table 5 and Appendix 5 and note the forecast expenditure of £198m (£218m Qtr2) in 2020/21 which equates to 37% (40% Qtr2) of the revised capital budget (Section 9, Table 5 and Appendix 4).
- 3.7. To approve the budget virements and receipt of grants as set out in Appendix 5.
- 3.8. To note the debt write-offs approved by officers in Quarter 3 2020/21 (Appendix 6) and approve the >£50,000 debt write-offs (Appendix 6a).

4. Reason for Decision

- 4.1 A strong financial management framework, including oversight by Members and senior management, is an essential part of delivering the council's priorities and statutory duties. This is made more critically important than ever as a result of the severe financial duress placed on the Council by the Covid-19 crisis.

COVID-19 affects everything local authorities do – as community leaders, public health authorities, education authorities, employers, partners and service deliverers. The Leader, Cabinet and its officers continue to need to focus on responding to the crisis while ensuring normal critical services are provided.

5. Alternative Options Considered

- 5.1 The report of the management of the Council's financial resources is a key part of the role of the Director of Finance (Section 151 Officer) in helping members to exercise their role and no other options have therefore been considered.

6. Revenue Outturn

6.1. Covid -19 Financial Impact: Key Elements to Date

- 6.1.1 As underlined in the two Finance Update reports presented to Members this financial year, the pandemic has had a bigger impact on the Council's service delivery and therefore its in-year budget position than anything for decades. These reports also set out the Government funding allocated to Haringey to date and officer's assumptions and forecasts of further support at least to the end of this financial year. The following paragraphs provide an update since the Qtr 2 report.

6.2 Updates since December Cabinet

Reporting to MHCLG:

- 6.2.1 The Council has submitted a further two C-19 returns to MHCLG, the latest of which was submitted on 29 January (Round 9). This return forms the basis for the C-19 reported pressures contained in this report. It is expected that two further returns will be submitted this financial year and it is likely that MHCLG will continue to request some level of reporting into 2021/22.
- 6.2.2 The first claim to MHCLG under the Government's Sales, Fees & Charges (SFC) Compensation scheme was submitted on 30 September for which a payment of £4.986m has been received. The second claim was submitted on 18 December with payment expected to be received during February. The final claim will be completed in April. The Council is still forecast to receive circa £8m from this scheme in 2020/21.
- 6.2.3 It should be noted that the scheme does not cover the full loss; Councils are expected to bear the first 5% with Government compensating Councils for 75% of the remainder. The scheme also excludes income losses related to rental income.
- 6.2.4 The Spending Review 2020, announced in November, confirmed the extension of this SFC compensation scheme until the end of June 2021.

Funding / Government Support for Haringey:

- 6.2.5 No further un-ringfenced emergency grant funding has been received since the Qtr2 report to Cabinet but government has allocated further tranches of more specific grants such as Test and Trace, paid to residents told to isolate. It is also expected that further allocations of Contain Outbreak Management Fund (COMF) will be announced.
- 6.2.6 A full list of grants allocated to Haringey since the start of the pandemic can be found in Appendix 7. This includes un-ringfenced, specific, business rates relief (Section 31) and grant to be passported to local businesses which Haringey is administering.

6.3 Corporate Financial Overview

- 6.3.1 The value of un-ringfenced emergency grant funding received from Government to date, to offset the additional expenditure incurred as a result of the pandemic, now stands at £26.74m. As outlined above, two of the three returns have been submitted to MHCLG in relation to the SFC compensation scheme and the total estimated compensation is still estimated at around £8.0m. Other, more specific grants will also impact on the final outturn forecast in this report. The majority have been provided for specific purposes; others allow for local discretion such as the Hardship Fund and the COMF.
- 6.3.2 While the monthly Covid financial implication returns made to the MHCLG by the Council nominally describe how this Emergency grant is being applied by the Council, it is being held centrally. Therefore, the level of overspends being reported at priority level are gross of any such funding, but net of the specific additional grants received.
- 6.3.3 As there is still uncertainty about Government support, the Council continues to assume that ministers stand by their statements that government stands ready to do whatever is necessary to support councils in their response to coronavirus.

6.3.4 As yet no government announcement has been made for grant support to local authorities HRAs, either in respect of any additional expenditure or, more importantly income loss.

6.3.5 In summary, and as highlighted in Table 1b below, before taking into account the Emergency grant, the Council's overall Quarter 3 finance position (including the HRA and DSG) is a projected gross overspend of £53.7m (Q2 £54.1m) for the year. The General Fund element (excluding DSG) is a gross £42.9m (Q2 £44.6m), which reduces to £8.1m (Q2 £9.8m) when taking into account known and estimated levels of announced Covid funding. The main drivers of the change are a reduction in forecast non-Covid 19 pressures predominately due to improved income forecasts.

6.3.6 Table 1a below sets out full year projections at priority level. A detailed analysis at directorate level is attached in Appendix 1.

Table 1a – Revenue Budget Monitoring Forecast for Quarter 3 2020/21

Priority	Revised 2020/21 Budget	Non Covid Pressure/ (Reductions)	Non MTFS Covid Challenges	2020/21 MTFS Savings Pressures - Covid	Total Covid Pressures	Q3 Total Variance	P6 (Q2) Total Variance	Movement P6 (Q2) to P9 (Q3)
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Housing	17,269	0	721	526	1,247	1,247	4,283	(3,036)
People - Children's	63,224	2,583	3,207	1,150	4,357	6,940	7,702	(762)
People - Adults	88,065	(98)	4,653	1,957	6,610	6,512	6,374	138
Place	32,821	1,676	13,476	1,558	15,034	16,710	13,713	2,997
Economy	5,331	569	4,698	525	5,223	5,792	7,141	(1,349)
Your Council	35,582	(229)	4,823	1,064	5,887	5,658	5,371	287
General Fund Total (before DSG)	242,292	4,501	31,578	6,780	38,358	42,859	44,584	(1,725)
DSG	0	6,550	0	0	0	6,550	5,305	1,245
External Finance	(242,292)	32	0	0	0	32	32	0
General Fund Total	0	11,083	31,578	6,780	38,358	49,441	49,921	(480)
HRA	0	216	4,017	0	4,017	4,233	4,177	56
Haringey Total	0	11,299	35,595	6,780	42,375	53,674	54,098	(424)

6.3.7 Table 1b below summarises the current forecast impact on budgets for 2020/21 after taking into account un-ringfenced emergency grant allocated to date and estimated income loss compensation grant; the latter is still an estimate. The £3.62m unmet Covid-19 financial impact identified as (a) is still assumed to be covered by Government.

Table 1b – General Fund Forecast for Q3 2020/21

	Qtr3	Qtr2	Movement
General Fund	2020/21	2020/21	2020/21
	(£m)	(£m)	(£m)
Covid Pressure	38.36	38.66	0.30
Less: Un-ringfenced Emergency Grant received	(26.74)	(26.74)	0.00
Less: Income Loss Compensation Grant estimate	(8.00)	(8.00)	0.00
(a) Government Funding Assumed	3.62	3.92	0.30
(b) Residual Non-Covid Pressure / (Surplus)	4.50	5.92	1.42
TOTAL Covid (a) + Non-Covid (b)	8.119	9.840	1.721

- 6.3.8 It must be stressed that these figures represent our current estimates and assumptions about Government support. Should the additional support not be forthcoming, the budgetary pressure will fall upon the Council and this will need to be addressed at year end and in our on-going financial planning. Equally, should the measures required to address the pandemic over the remaining months of the year exceed our current estimates, the forecast impact will worsen.
- 6.3.9 Regarding the forecast £4.50m residual base budget pressure identified as (b) in Table 1b, the assumption is that Directors will continue to identify measures to mitigate this as far as possible; any residual pressure will be met from utilisation of the budget contingency.

6.4 Priority Level Financial Position

Brief explanations of the forecast variances for each priority are outlined below. These variances include the impact of the forecast non-delivery of MTFS savings which are further discussed in section 8.

6.5 PEOPLE: CHILDREN'S & SCHOOLS Over budget £6.940m (Q2 £7.702m)

- 6.5.1 The budget for Children's and Schools is £63.224m and at the end of Period 9, the service is forecast to spend £70.164m, indicating a pressure of £6.940m. This represents a £0.510m favourable movement against the forecast provided in Q2 when an overspend of £7.702m was forecast.
- 6.5.2 A large proportion of the budget pressure of £6.940M relates to a COVID-19 pressure of £3.199M and a further adverse impact of £1.567m slippage in savings delivery due to Covid-19. This has been driven by a significant increase in social care activity and loss of income across a few services such as Pendarren and Children's Centres. The remaining £2.2m adverse variance is made up of additional numbers and unit cost increases for placement costs and SEND transport.
- 6.5.3 The main factor driving the movement between Quarter 2 and Quarter 3 relates to revised estimates on the risks on income because of COVID-19 and further revisions on the cost of SEN and Disabled children services.

6.6 PEOPLE : ADULTS & PUBLIC HEALTH Over budget £6.512m (Q2 £6.374m)

- 6.6.1 Adults and Public Health is forecasting an adverse variance of £6.512m (Q2 £6.374m) on a budget of £88.083m. This represents an increase of £0.138m. The main driver for the overall movement of £0.138m is an increase in additional care package costs as a result of COVID-19.
- 6.6.2 Adult Social Care, the Q3 adverse variance is £4.944m (Q2 £4.741m), which is comprised of £3.404m of COVID-19 related expenditure and £2.013m savings slippage. This is offset by a favourable variance of £0.473m due to a delayed transition to new homecare contracts.
- 6.6.3 Adults Commissioning overall variance at Q3 is £1.515m (Q2 £1.600m). This is comprised of £1.153m COVID-19 related expenditure and additional support to

voluntary and community sector (VCS) and £0.500m additional brokerage expenditure incurred to deliver client contribution income.

- 6.6.4 Adults Public Health is projected to break even with additional COVID-19 related expenditure being met by specific government grants.
- 6.6.5 There is an additional COVID-19 expenditure of £7.056m caused by the significant increase in the Council's activities relating to the COVID-19 response. This expenditure is funded by specific COVID-19 grants and recharges to CCG and consequently has a net nil variance in budget forecast.
- 6.6.6 It should be noted that there is an additional risk of a further increase in demand due to COVID-19 for packages of care that we are unable to quantify at this point in time: pressures arising through additional clients, care complexity, increased hours and carer breakdown. Dealing with COVID-19 continues to create unforeseen pressures on the service which ASC and health partners are dealing with. The impact and pressure are likely to change over the coming months as we begin to understand long-term implications of COVID-19. This poses additional risks to the budget position for 2020/21 and beyond.

6.7 PLACE

Over budget £16.710m (Q2 £13.713m)

- 6.7.1 Place Priority is showing a worsened position of £2.997m over the forecast presented in Qtr2. This is predominantly due to a deterioration in the forecast parking income (£2.6m) as a result of the 3rd lockdown which is expected to lead to a significant reduction in the volume of traffic in the borough.
- 6.7.2 The Community Safety, Waste & Enforcement overspend position has improved mainly from increases to external waste income such as SPI's, green waste and schools and specific C19grant allocations such as COMF and Surge Enforcement, partly off-set by a worsened position on anti-social behaviour (ASB) fixed penalty notice (FPN) income and increased coroners costs.
- 6.7.3 The Parks & Leisure overspend position has worsened mainly due to additional pressures from the current lockdown such as compliance measures in Parks and additional support to Fusion. These have partly been off-set by specific COMF C19 grant allocations.
- 6.7.4 The Soft FM overspend position was previously reported in the Economy priority (£0.760m Qtr2). Due to additional requirements for security and cleaning across the council portfolio this position has worsened by £0.1m over the last quarter, a continuation of the increase in such costs over budget during the course of the year.
- 6.7.5 The Alexandra Park and Palace Charitable Trust forecast remains in line with the Qtr 2 position. Members should note that the Trust is actively looking to identify additional funding from other sources and has been successful in its application for £250k to the National Lottery Heritage Fund to help meet operational costs through the current situation as well as an additional £2.9m from the Culture Recovery Fund to maintain operations.

6.8 ECONOMY

Over budget £5.792m (Q2 £7.141m)

- 6.8.1 Economy is forecasting an adverse variance of £5.792 for Qtr 3 Budget Monitoring. The underlying key pressure remains the impact of Covid-19 which has added costs but, more significantly, has impacted key income streams such as planning and building control.
- 6.8.2 Of the £1.349m improvement this quarter, £0.760m is due to Soft FM services transferring from Economy into Place along with the associated overspends on security and cleaning. The remainder is due to improved income forecasts in Planning (£0.370m) and Regeneration and Economic development (£0.220m).
- 6.8.3 Corporate contracts in this area are being closely monitored with HfH and are subject to fluctuations due to further health and safety issues coming to light in the Corporate buildings.

6.9 HOUSING (General Fund)

Over budget £1.247m (Q2 £4.283m)

- 6.9.1 Housing Priority forecasts a net adverse variance of £1.247m which represents a £3.04m reduction from the quarter 2 forecast. This reduction is largely due to homelessness rough sleeping grant received in the quarter, which has been used to mitigate the forecast expenditure. The remaining £1.247m is effectively the additional spend on C19 that has not been offset by government grant to date.
- 6.9.3 There are initiatives in place to both reduce costs and TA demand. While these initiatives have commenced some, such as CBS and Capital Letters, have slowed down due to COVID and it should also be noted that there are Temporary Accommodation costs of £4.2m above budget. This year this cost is fully offset by the application of Flexible Homelessness Support Grant (FHSG).

6.10 HOUSING (Housing Revenue Account - HRA)

Over budget £4.2m (Q2 £4.2m)

Table 2 – HRA Budget Forecast (Quarter 3)

2020/21 - (Q3 v Q2)							
	2020/21 Revised Budget	Q3 2020/21 Forecast	HRA Projected Variance - directly related to Covid19	HRA Projected Variance - inherent base budget variance	Q3 2020/21 Forecast Variance	Q2 2020/21 Forecast Variance	Forecast Variance Movement Q3 v Q2
HRA BUDGET 2020/21	£000's	£000's	£000's	£000's	£000's	£000's	£000's
UE0721 Managed Services Income TOTAL	(105,513)	(104,877)	0	635	635	367	269
UE0722 Managed Services Expenditure TOTAL	11,312	14,886	3,816	(242)	3,574	3,610	(36)
UE0731 Retained Services Expenditure TOTAL	82,604	82,629		24	24	200	(175)
Surplus HRA Services (within Retained)	11,596	11,596	0	0	0	0	0
Balance of HRA Account (assumed Government Funding)	0	4,233	3,816	417	4,233	4,177	57

6.10.1 The Qtr3 HRA forecast is in line with the position presented in Qtr2 with the overspend largely due to the impact of COVID-19 on income collection. The Q3 forecast figures include a revised downwards forecast estimate of tenants unable to meet their rental payments as a consequence of the pandemic. To date the Council has not received notification of any support from Government to mitigate this estimated loss.

6.11 YOUR COUNCIL

Over budget £5.658m (Q2 £5.371m)

6.11.1 The Qtr 3 forecast overspend is caused by £1.064m delay in delivering agreed MTFS savings due to Covid-19, £4.823m direct additional costs or lost income arising from Covid-19 and a net £0.229m reduction in base budget spend. Overall, this is a small increase in budget pressure of £0.287m from the Q2 position. This movement is explained below.

6.11.2. There has been an increase of £0.3m in Covid-related projections, the key changes being further projected loss of Council Tax and NNDR Court Cost income (£0.3m), further loss of London Construction Programme (LCP) fee income (£0.3m) and increased Covid related bad debt pressures (£0.4m). These additional pressures have been offset by the removal of the anticipated pressure on the Discretionary Housing Payment budget (£0.6m and an increase in MTFS savings achieved within the Customer First programme (£0.1m).

6.11.3 The projected £0.2m base budget underspend remains in line with the Qtr2 forecast.

6.12 Debt and Write Offs

6.12.1 Appendix 6 provides a summary of the debts under £50,000 written off in Qtr3. These have been approved by the Director of Finance under his delegated authority and all are adequately provided for.

6.12.2 Under Haringey's constitution debts of £50,000 or more require the approval of the Cabinet member for finance or Cabinet. This quarter there are 3 such debts being recommended for approval. These have arisen for different reasons and all available recovery action has been undertaken. All are fully provided for and as per appropriate accounting practice, this position needs to be recognised in the Council's accounts and the debts written off. The detail surrounding each of these debts is set out in Appendix 6a.

7 Dedicated Schools Grant (DSG)

Over budget £6.550m (Q2 £5.304m)

7.1 The DSG budget is forecasting an in year overspend of £6.550m and an adverse movement of £1.2m since quarter 2 as highlighted in the table below, showing the pressure within the High Needs Block (HNB).

Table 3 – DSG Position Quarter 3

Blocks	Opening DSG at 01/04/20	Schools Forum agreed trf between blocks	Revised Reserves at 01/04/20	Q3 Forecast Outturn Variance	Closing DSG Reserves at Q3 2020-21	Closing DSG Reserves at Q2 2020-21	Net movement in period
Schools Block	0	0	0	0	0	0	0
Central Block	10,260	0	10,260	7,994	18,254	10,294	7,960
High Needs Block	10,066,960	0	10,066,960	6,493,183	16,560,143	15,322,900	1,237,243
Early Years Block	107,530	0	107,530	48,857	156,387	156,387	0
Total	10,184,750	0	10,184,750	6,550,034	16,734,784	15,489,581	1,245,203

- 7.2 The pressure on the DSG budget is acknowledged by government as a national issue. The outcome of the Government's SEND Review will influence policy (and budgets) and will factor into any future deficit recovery plans. This still is awaiting publication. The School's Forum is aware of the need to produce a Deficit Recovery Plan as a matter of good financial practice in preparation for the expected contact from the DfE.
- 7.3 Despite material increases in the Schools Block (£3.18m) and High Needs Block (£4.64m) budgets compared to 2019-20, as highlighted in the table above, there remains a significant pressure within the High Needs Block (HNB). The main cost driver for the HNB is the rising number of children whose special education needs have been assessed as needing support as set out in their education, health and care plans. As a result of this we have seen an increase in schools receiving additional top up funding to support children in their settings and this latest adverse movement reflects the changing numbers of children. An additional 279 places/pupils were added to the SEND Top Up list between April and November 2020.
- 7.4 The change to EHCP conditions where support is provided to a wider age group (0 – 25 years) mean the potential spend for individual cases could last 25 years.
- 7.5 The Covid factor should not be ignored as SEND have noticed Social, Emotional and Mental Health SEMH cases were already on the increase and now this maybe exacerbated further by the pandemic.
- 7.6 Although this is a national funding issue, the service is working with schools through the Schools Forum on a recovery plan to identify areas where costs could be reduced. There are complex system issues to address and these will need to be agreed with Schools Forum throughout the year for implementation in September 2021.
- 8 MTFS Savings Delivery**
- 8.1 Officers continue to monitor delivery of all agreed MTFS savings as part of their monthly budget monitoring processes. The table below highlights the forecast delivery of the current year's (2020/21) savings.

Table 4 – Summary 2020/21 MTFS Savings Delivery by Priority

Priority	2020/21 Savings Target	Achieved to date, full year effect	Forecast savings	Covid Slippage	Other Slippage	Commentary
	£'000	£'000	£'000	£'000	£'000	
People : Childrens	2,261	1,111	0	1,150	0	1.150m delay due to covid issues: Edge of Care 0.735m; Safeguarding 0.250m Fostering 0.134m; SEND transport 0.214m Pause Project 0.111m and Foster Carer Room 0.193m Savings over achieved (0.487m)
People : Adults*	5,969	2,983	0	1,957	1,029	Covid: Haringey Learning Partnership 0.889m; Mental Health saving 0.464m; transfer of High Cost Day Care 0.506m; In House Negotiator 0.344m; CCG Related savings 0.287m; Early prevention 0.285m; Carers support 0.036m; Outcome & reenablement 0.175m
Place	3,023	17	1,448	1,558	0	Covid: Parking Transformation 1.466m; Litter enforcement 0.065m; Waste Service Programme 0.210m; Debt recovery 0.500m; charges for highest emitting 'petrol' vehicles 0.062m; enforcement of wgt limit 0.004m and over performance (0.844m)
Economy	980	555	40	525	-140	COVID: Largely from FM Transformation £0.15m, Strategic Property Unit – New Income Rent Reviews £0.10m, Property head lease acquisition £0.05m and various others £0.325m
Housing	1,176	382	268	526	0	COVID: Additional HMO Licensing Scheme £0.17m, Temporary accommodation reduction plan £0.33 and Transferring PSLs to the CBS £0.03
Your Council	2,934	1,813	57	1,064	0	Covid Slippage: FOBO 0.877m; Advertising Income 0.115m; CPMO /Comms Saff 0.072m
TOTAL	16,343	6,861	1,813	6,780	889	

Appendix 3 provides progress on savings 2020-21 delivery on a more detailed level.

2021/22 – 2024/25

8.2 Services also continue to monitor deliverability of agreed savings for 2021/22 and beyond. Particularly in the light of the significant impact of Covid-19 on delivery of the current years savings highlighted in the table above, services have reviewed both current years slipped savings and also savings starting from 2021/22 in order for this to be considered and where necessary addressed as part of the annual financial planning process. The 2021/22 proposed budget and MTFS assume £2.9m is delayed, but still delivered in full while £1.4m is written out as no longer deliverable. Progress across the remainder of the year will continue to be tracked carefully.

9 Capital Expenditure Forecast at Quarter 3

The capital programme is currently forecasting to under spend by £334.207m (63.4%) which is an increase in the forecast underspend of £19.6m when compared to quarter 2. Table 5 below compares the revised quarter 3 budget to the forecast outturn.

Table 5

Priority	2020/21 QTR.2 Budget	Adj	2020/21 QTR.3 Budget	2020/21 Qtr. 3 Forecast Outturn	2020/21 Qtr. 3 Outturn Budget Variance	Movement in Variance from last Quarter
	£'000	£'000	£'000	£'000	£'000	£'000
People (Children's)	31,235	1,921	33,157	17,447	(15,710)	(8,632)
People (Adults)	17,863	318	18,181	4,240	(13,940)	278
Place	44,388	3,840	48,228	28,832	(19,396)	(3,566)
Economy	116,536	1,135	117,671	22,461	(95,210)	(27,270)
Housing (GF)	10,698	0	10,698	0	(10,698)	0
Your Council	34,425	(6,707)	27,718	11,755	(15,962)	(6,111)
General Fund Total	255,145	507	255,652	84,736	(170,916)	(45,301)
Housing (HRA)	287,136	0	287,136	113,845	(173,291)	25,684
Total	542,281	507	542,788	198,581	(344,207)	(19,617)

9.1 People (Children's Services)

There is a net budget increase of £1.92m which is largely the result of transferring the Pendarren project to this service area. The service is reporting an underspend of £15.7m, which is an adverse movement of £8.63m when compared to Q2. The programme is experiencing significant slippage due to Covid-19 which is preventing a lot of projects from proceeding. The effect of Covid-19 is impeding the progression of options appraisals through not being able to undertake site visits. There are also difficulties in the contractor supply chains which is also increasing the time that projects are taking to both start and finish. However, it is anticipated that, subject to any further lockdowns, that there will be significant spend in the next financial year.

9.2 People (Adults)

Additional funding of £0.318m reflects an increase in the disabled facilities grant allocated in-year. The current projected outturn is £4.240m which leads to a variance of £13.940m. This represents an improvement of £0.3m on the position as reported in quarter 2. This programme is also adversely impacted by Covid-19. However, it is expected that expenditure will pick up in the new financial year as the Canning Crescent and the Osborne Grove Nursing Homes projects get underway.

9.3 Place

There is a net increase in this budget of £3.84m. The majority of the increase relates to the transfer in of resources from other schemes in the approved capital programme to purchase the lease of the New River Stadium. There were further transfers in of £0.25m for the JLAC scheme and £0.25m for the School Streets scheme. The current projected outturn is £28.832m, a variance of £19.396m. This is a reduction of £3.566m compared to the quarter 2 position. The two largest contributory factors are the Marsh Lane project and the Libraries IT and Building Upgrades project which are forecasting lower outturns by £1.7m and £1.2m respectively. There are a range of offsetting increases and decreases in the forecast outturn that contribute to the rest of the variance between quarters.

9.4 Economy

There is a net budget increase of £1.135m which is the in-year movement of the Wood Green HQ scheme (£3.1m) from Your Council and the movement of the Pendarren scheme (£1.972m) to Children's Services.

The current projected outturn is £22.461m which is a variance of £95.21m. The revised forecast outturn is £27.27m less than the position as at quarter 2. The main contributors to the reduction are: the HRW scheme which is forecasting to spend £7m less due to lower in year levels of acquisitions; site acquisition £4m lower as there have been less sites available to acquire; Wood Green Regen 2 at £4m which has slipped due to Covid-19 and not being able to progress schemes; acquisition of headleases programme, £5m, is not expected to spend in this financial year. There are range of smaller improvements and reductions that make up the balance of the £25.27m.

9.5 Housing General Fund

There are no budget adjustments for the Housing General Fund capital programme. There has been no spend and no spend is forecast for this financial year.

9.6 Housing HRA

There are no budget adjustments for the HRA capital programme. The programme has improved its forecast outturn by £25.7m when compared to the quarter position. The main areas of improvement are: new homes acquisition at £30.5m which reflects the improved delivery on the Welbourne scheme and 1a Ashley Gardens; and existing homes acquisition has improved its forecast by £4.9m due to a higher forecast number of acquisitions of homes for leasing to the HCBs. These improvements have been offset by are reduction in; BWF where there has been fewer purchase of leaseholder properties (£1.2m); Improvement works where projects have been delayed by Covid-19 (£6m); and the new homes build programme has slipped slightly (£1.9m).

9.7 Your Council

The overall budget has reduced by £6.707m, which relates to the transfer the transfer of; £1.1m from the contingency to assist in funding the acquisition of the New River Stadium; £2m transfer from the Responsiveness Fund to assist in the acquisition of the New River Stadium; moving the Wood Green HQ budget of £3.1m; £0.25m transfer from contingency to the JLAC scheme; and £0.25m transfer from the Covid-19 contingency to the School Streets scheme. The projected outturn is £11.755m with an in-year variance of £15.962m which is a decrease of £6.11m from quarter 2. The reason

for the movement is primarily related to a reduction in the forecast outturn of £3.7m in the Asset Management of Council Buildings which in large part attributable to the impact of Covid-19. The other contributory factor is the technical movement in the approved capital programme contingency of the transfers outlined above but this is not reflective of a performance.

10 Statutory Officers Comments

Finance

- 10.1 This is a report of the Director of Finance and therefore the financial implications have been highlighted in the body of the report. Further comment is necessary, however.
- 10.2 This report, and the preceding finance reports to Cabinet since the pandemic began, continue to make clear that it is expected that government will provide the required financial support to cover the impact it has had on the Council's budgets. Further specific grants have been received since the Qtr3 report, as well as receipt of the first SFC compensation payment however, a forecast gap still remains and there continues to be uncertainty over the final financial burden on the council this financial year. It must also be stressed that there have still been no announcements about government support to the HRA which, as this report continues to highlight, is forecast to be impacted through lost rental income.
- 10.3 As well as its operating position, an important part of every local authority's ongoing assessment of its sustainability is the strength of its balance sheet. The Council's General Fund unearmarked balance is £15.8m for the start of 2020/21. In the regular returns made to MHCLG the Council continues to advise that none of this balance or any other earmarked reserve balances should be assumed to be available to part meet the costs associated with the Covid-19 crisis. This is because holding a reasonable un-earmarked balance is for "going concern" risk management and earmarked reserves are demarcated for a range of in year and future year purposes.
- 10.4 It is positive that the forecast overspend from non-Covid related pressures has reduced since the last report, but further focus is required by all services across the last quarter to bring the position back to budget as far as possible to minimise any further pressure on the overall resources available to the authority.
- 10.5 The position of the Dedicated Schools Grant continues to be of concern to Haringey, and this report shows the in year forecast has moved adversely. The Council projects that its cumulative DSG deficit will be a negative balance in excess of £16m, which will be carried forward into 2021/22, largely owing to overspends in the High Needs Block in the last 3 years. This is a national issue with many Councils in a similar position to Haringey. Whilst the government's clarity that DSG is now a ringfenced fund which will not currently become a pressure to the general fund is helpful, this is a significant deficit balance to hold within the Council's balance sheet. Local action by the Council will be developed to help mitigate the position, however this in itself will not be sufficient to address the overspend position. The issues faced by the sector require a systemic review of funding from the government, including funding or mechanisms to rebalance carried forward deficits.

Strategic Procurement

- 10.6 Strategic Procurement notes the contents of this report and will continue to work with services to enable cost reductions.

Legal

- 10.7 The Head of Legal & Governance (interim) has been consulted in the preparation of this report and makes the following comments.
- 10.8 The Council is under a duty to maintain a balanced budget. **A statutory duty is placed on the Council pursuant to** Section 28 of the Local Government Act 2003 to monitor during the financial year its expenditure and income against the budget calculations. If the monitoring establishes that the budgetary situation has deteriorated, the Council must take such remedial action as it considers necessary to deal with any projected overspends in order to achieve a balanced budget. This could include action to reduce spending, income generation or other measures to bring budget pressures under control for the rest of the year. However, the Council must act reasonably and in accordance with its statutory duties and responsibilities when taking the necessary action to reduce the overspend.
- 10.9 The Cabinet is responsible for approving virements in excess of certain limits as laid down in the Financial Regulations at Part 4 Section I, and within the Executive's functions at Part 3 Section C, of the Constitution.

Equalities

- 10.10 The Council has a public sector equality duty under the Equalities Act (2010) to have due regard to:
- Eliminate discrimination, harassment and victimisation and any other conduct prohibited under the Act;
 - Advance equality of opportunity between people who share those protected characteristics and people who do not;
 - Foster good relations between people who share those characteristics and people who do not.
- 10.11 The three parts of the duty apply to the following protected characteristics: age, disability, gender reassignment, pregnancy/maternity, race, religion/faith, sex and sexual orientation. Marriage and civil partnership status apply to the first part of the duty.
- 10.12 The report provides an update on the Council's financial position at Q2 of the 2020/21 financial year. The report details budget variances, including those arising as a result of forecast non-achievement of Cabinet approved MTFS savings and the impact of COVID-19 on the Council's financial plans.
- 10.13 The proposed decision is to note and approve the budget adjustments and virements as described.
- 10.14 The report highlights increasing demand for services and budgetary pressures on People Services for both children and adults. This reflects the disproportionate impact that the pandemic has had on equality groups and vulnerable residents across the borough.

- 10.15 Given the impact on services of savings targets, all MTFS savings were subject to equality impact assessments as part of the report to Full Council in February 2020.
- 10.16 Throughout the council's COVID-19 response the equality impacts of budget decision have been considered in line with the Equality Act 2010. The budget decisions made throughout COVID-19 response have acted to support and safeguard residents, examples of this include increased spending on homelessness and rough sleeping provision and the provision of emergency food parcels across the borough.

11 Use of Appendices

Appendix 1 – Directorate Level Forecast
Appendix 2 – HRA Forecast
Appendix 3 – MTFS Savings Delivery
Appendix 4 – Capital Programme Level Forecast
Appendix 5 – Virements (Revenue and Capital)
Appendix 6 & 6a – Debt Write Off
Appendix 7 – Covid-19 Related Grant Support

12 Local Government (Access to Information) Act 1985

- 12.1 For access to the background papers or any further information, please contact Frances Palopoli – Head of Corporate Financial Strategy & Monitoring extn 3896

PRIORITY		Revised 2020/21 Budget	Q3 Outturn Forecast	Q3 Forecast to Budget Variance	Q2 Forecast to Budget Variance	Movement in Variance from Q2 to Q3
PEOPLE : CHILDREN'S		63,224,026	70,164,491	6,940,465	7,701,908	-761,443
PEO_CY_CH.PR	Childrens	50,782,732	57,571,274	6,788,542	7,255,902	-467,360
PEO_CY_COM.PR	Children's Commissioning	3,143,590	3,269,851	126,261	136,251	-9,990
PEO_CY_PH.PR	Children's Public Health	6,004,400	6,003,500	-900	-900	0
PEO_CY_SL.PR	Schools & Learning	3,293,304	3,319,866	26,562	310,655	-284,093
PEOPLE : ADULTS		88,064,694	94,577,410	6,512,716	6,374,393	138,323
PEO_AS_ASC.PR	Adults Social Care	71,857,069	76,801,326	4,944,257	4,741,021	203,236
PEO_AS_COM.PR	Adults Commissioning	4,648,350	6,163,520	1,515,170	1,599,889	-84,719
PEO_AS_PH.PR	Adults Public Health	11,559,275	11,612,564	53,289	33,483	19,806
PLACE		32,821,463	49,531,108	16,709,645	13,712,921	2,996,724
PLA_COM.PR	Environment & Neighbourhood	25,366,996	41,480,373	16,113,377	12,707,070	3,406,307
PLA_COMSIN.PR	Culture and Libraries	5,699,467	5,795,735	96,268	105,851	-9,583
PLA_CFO.PR	Chief Finance Officer (Alexandra P	1,755,000	2,255,000	500,000	900,000	-400,000
ECONOMY		5,330,700	11,122,297	5,791,597	7,141,127	-1,349,530
ECO_PRD.PR	Housing Regeneration & Planning	181,295	172,470	-8,825	-1,350	-7,475
ECO_HSEGWT.PR	Housing	153,700	153,700	0	0	0
ECO_PLAN.PR	Planning Building Standards	2,492,705	4,309,584	1,816,879	2,102,021	-285,142
ECO_PCP.PR	Property & Capital Projects	-1,786,290	1,838,253	3,624,543	4,740,456	-1,115,913
ECO_REGEN.PR	Regeneration & Economic	4,289,290	4,648,290	359,000	300,000	59,000
HOUSING		17,269,065	18,516,156	1,247,091	4,283,177	-3,036,086
AH03.PR	Housing Demand	9,159,203	13,682,228	4,523,025	4,339,537	183,488
AH05.PR	Housing Commissioned Services	-937,704	-5,460,729	-4,523,025	-4,339,537	-183,488
HOU_COMSIN.PR	Commissioning	8,585,374	9,818,725	1,233,351	4,324,116	-3,090,765
HOU_DEN.PR	Environment & Neighbourhood	462,192	475,932	13,740	-40,939	54,679
YOUR COUNCIL		35,582,012	41,240,333	5,658,321	5,370,170	288,151
COU_CFO.PR	Chief Finance Officer	27,283,769	29,745,611	2,461,842	1,954,286	507,556
COU_CG.PR	Corporate Governance	2,559,690	2,771,690	212,000	168,600	43,400
COU_CCS.PR	Corporate & Customer Services	5,482,456	7,632,141	2,149,685	2,774,884	-625,199
COU_CE.PR	Chief Executive	296,050	296,050	0	0	0
COU_SCO.PR	Strategy & Communication	172,955	139,129	-33,826	167,410	-201,236
COU_HR.PR	Human Resources	-221,639	-289,934	-68,295	0	-68,295
COU_IT.PR	IT Digital Services	236,343	524,330	287,987	52,937	235,050
COU_TR.PR	Transformation & Resources	591,348	728,253	136,905	48,527	88,378
COU_SP.PR	Strategic Procurement	-818,960	-306,938	512,022	203,526	308,496
PRIORITY TOTAL		242,291,960	285,151,795	42,859,835	44,583,696	-1,723,861

2020/21 - Q3 vs Q2

HRA BUDGET 2020/21	2020/21 Revised Budget	Q3 2020/21 Actual Spend	Q3 2020/21 Forecast	Q3 2020/21 Forecast Variance	Q2 2020/21 Forecast Variance	Forecast Variance Movement Q3 v Q2	NOTES
	£000's	£000's	£000's	£000's	£000's	£000's	
UE0721 Managed Services Income							
H39404 Service Charge Income - Hostels	-320	-221	-320	0	0	0	At Q3 the managed income position is showing an adverse variance of £635k. This is due to a number of minor HRA managed income budget variances combined, including less than anticipated leasehold applications received during the year, due to the current climate. We have reviewed and taken a more realistic approach in forecasting all income budget areas at period 9.
H39002 Rent - Hostels	-1,815	-1,295	-1,715	100	100	0	
H39001 Rent - Dwellings	-82,992	-58,853	-82,834	158	1,669	-1,510	
H39101 Rent - Garages	-857	-580	-813	44	48	-4	
H39102 Rent - Commercial	-753	-750	-778	-25	-25	0	
H39103 CBS - Lease Rental Income	0	1	0	0	-1,434	1,434	
H39201 Income - Heating	-615	-445	-612	3	5	-1	
H39202 Income - Light and Power	-1,064	-773	-1,067	-3	-4	1	
H39301 Service Charge Income - Leasehold	-7,378	-7,133	-7,150	228	8	220	
H39401 ServChgInc SuppHousg	-1,495	-1,075	-1,486	9	0	9	
H39402 Service Charge Income - Concierge	-1,540	-1,008	-1,435	105	-11	116	
H39405 Grounds Maintenance	-2,514	-1,811	-2,500	14	13	2	
H39406 Caretaking	-1,856	-1,346	-1,857	-1	-1	1	
H39407 Street Sweeping	-2,313	-1,676	-2,312	1	0	1	
H40102 Water Rates Receivable	-1	0	0	1	0	1	
UE0721 Managed Services Income TOTAL	(105,513)	(76,965)	(104,877)	635	367	268	
	£000's	£000's	£000's	£000's	£000's	£000's	
UE0722 Managed Services Expenditure							
H31300 Housing Management WG	23	43	52	28	25	4	At Q3 there has been no movement in Bad debt provision, there has been a very minor movement of £16k from p8 to p9 for the HRA managed expenditure budget. Total expenditure variance is forecasted as at p9 is £3.574m.
H32300 Housing Management NT	28	30	38	10	10	0	
H33300 Housing Management Hornsey	0	14	14	14	6	8	
H33400 TA Hostels	251	252	376	125	125	0	
H34000 ST Area Office Manager	0	0	0	0	5	-5	
H34100 Estate Services ST	0	0	0	0	0	0	
H34300 Housing Management ST	10	17	16	7	0	7	
H35300 Housing Management BWF	12	1	4	-8	-8	0	
H36300 Rent Accounts	0	29	0	0	0	0	
H36400 Accountancy	0	4	0	0	0	0	
H37210 Under Occupation	170	37	87	-83	-83	0	
H40001 Repairs - Central Recharges	2	1	2	0	0	0	
H40004 Responsive Repairs - Hostels	385	115	669	284	284	0	
H40101 Water Rates Payable	31	10	31	0	0	0	
H40104 HousMgmntRechg Central	109	0	109	0	0	0	
H40111 Other RentCollection	138	90	138	0	0	0	
H40201 Management Special - BWF	0	0	0	0	0	0	
H40202 Management Special - Nth Tott	0	0	0	0	0	0	
H40203 Management Special - Sth Tott	0	0	0	0	0	0	
H40204 Management Special - Wood Gm	0	0	0	0	0	0	
H40205 Management Special - Hornsey	0	0	0	0	0	0	
H40206 HousMgmntRechg Energ	1,123	845	1,123	0	0	0	
H40208 Special Services Cleaning	3,173	1,902	3,302	129	129	0	
H40209 Special Services Ground Maint	2,065	829	2,065	0	0	0	
H40212 HRA Pest Control	295	35	295	0	0	0	
H40213 Estate Controlled Parking	145	27	78	-67	-62	-5	
H40303 Supporting People Payments	1,852	508	1,010	-842	-852	10	
H40309 Commercial Property - Expenditure	0	25	27	27	0	27	
H40401 Bad Debt Provision - Dwellings	768	0	4,431	3,663	3,663	0	
H40404 Bad Debt Provision - Leaseholders	19	0	240	221	221	0	
H40406 Bad Debt Provisions - Hostels	68	0	0	-68	-68	0	
H40801 HRA - Council Tax	357	306	573	216	216	0	
S14400 Supported Housing Central	289	122	207	-82	0	-82	
UE0722 Managed Services Expenditure TOTAL	11,312	5,244	14,886	3,574	3,610	(36)	
	£000's	£000's	£000's	£000's	£000's	£000's	
UE0731 Retained Services Expenditure							
H25600 Housing Delivery Team	0	893	0	0	0	0	The Retained budget Q3 variance is a £24k variance a movement from p.8 of £176k favourable variance, predominantly due to the removal of the previously reported £200k COVID cost pressure for the company HfH indicated against the ALMO management fee. The Overall HRA budget is indicating a £4,233m variance, a movement for p.9 of £140k favourable adverse variance compared to p.8.
H38002 Anti Social Behaviour Service	608	11	608	0	0	0	
H39601 Interest Receivable	-302	0	-302	0	0	0	
H40112 Corporate democratic Core	598	0	598	0	0	0	
H40301 Leasehold Payments	-142	58	-142	0	0	0	
H40305 Landlords Insurance - Tenanted	324	0	324	0	0	0	
H40306 Landlords - NNDR	137	0	137	0	0	0	
H40308 Landlords Insurance - Leasehold	1,561	1,435	1,561	0	0	0	
H40501 Capital Financing Costs	16,412	0	16,412	0	0	0	
H40601 Depreciation - Dwellings	20,097	0	20,097	0	0	0	
H40805 ALMO HRA Management Fee	39,076	26,088	39,076	0	200	-200	
H40900 Community Benefit Society (CBS)	0	50	0	0	0	0	
H49000 Housing Revenue Account	11,596	9	11,596	0	0	0	
H60002 GF to HRA Recharges	3,233	1,930	3,257	24	0	24	
H60003 Estate Renewal	0	213	0	0	0	0	
H60004 HERS/ Regeneration Team	1,002	0	1,002	0	0	0	
H88888 Housing HRA	0	0	0	0	0	0	
UE0731 Retained Services Expenditure TOTAL	94,200	30,687	94,225	24	200	(176)	
Balance of HRA Account	0	-41,033	4,233	4,233	4,177	56	

MTFS Savings Tracker (2020/21 - 2024/25)						
Priority: People (Childrens)						Red
Perio 9 (Dec-20)						Amber
						Green
MTFS Savings Ref	Saving proposal	Description	2020/21 £'000s	2020/21 Projected Full Year Savings £'000s	2020/21 Savings (surplus)/ shortfall £'000s	RAG Status (Delivery of 2020/21 Saving)
People (Childrens)						
PC1	Reduce the number of agency staff	Reduce the the number of agency staff through delivering an effective recruitment and retention strategy.	61	148	(87)	Green
PC4	Safeguarding and Social Care and Early intervention and preventing demand	Prevent demand and costs through an effective prevention and intervention approach that means children and families are supported to avoid the care system and that where children are in care (particularly young adolescents) they are supported to return home safely wherever possible.	250	0	250	Red
PC3	Reduce the costs of placements	Reduce the costs of placements through an effective inhouse foster carer recruitment and retention strategy and through effective brokerage and negotiation of placements	90	90	0	amber
20/25-PE03	Invest to Save - Edge of Care	Prevent demand and costs through an effective prevention and intervention approach that means children and families are supported to avoid the care system and that where children are in care (particularly young adolescents) they are supported to return home safely wherever possible.	857	122	735	red
20/25-PE04	Invest to Save proposal - In-House Fostering	Recruit and retain in-house foster carers and reduce the reliance on more expensive independent fostering agency foster carers.	282	367	(85)	amber
20/25-PE05	Invest to Save - SEND Transport	Transform the SEND transport service with a focus on reducing transport costs through increasing competition.	168	(46)	214	red
20/25-PE06	Invest to Save - Pause Project	Implement the national programme which is voluntary for women who have experienced, or are at risk of, repeat removals of children from their care.	(186)	(297)	111	amber
20/25-PE07	Invest to Save - Family Assessment Centre	Aims to bring in-house the parenting assessments where children are subject to Public Law Outline or are in care	321	636	(315)	Green
20/25-PE08	Invest to Save - Foster Carer Room Extension	Aims to increase fostering placement capacity through the funding of housing adaptations for existing in-house foster carers who have homes with Homes for Haringey.	193	0	193	amber
20/25-PE09	0-19 year old public health commissioned services - a new integrated commissioned service delivery model	Public Health is working with the commissioned service provider to change the current service provision of three separate services into one integrated service model. Currently three commissioned services are within the Council's Section 75 Agreement with the CCG. These are	125	125	0	green
20/25-PE10	Reducing placement costs through effective management of the market	This proposal considers ways to shape the local residential care market for children by taking demand off the free market and creating some diversity in the care market. This will be done through reviewing the feasibility of a number of	(100)	(100)	0	green
20/25-PE11	UASC Accommodation	Insourcing accommodation for unaccompanied asylum seekers from expensive private providers to local properties leased directly by Homes for Haringey.	150	16	134	amber
20/25-PE12	Reduce operational costs in Schools and Learning and Commissioning	Identify any residual discretionary spend in Schools and Learning and reduce to deliver savings. Identify and reduce operational costs in Commissioning.	50	50	0	green
20/25-PE13	Review of spend on transport and taxis	Review of existing transport policy applicable to staff and foster carers to ensure: -Consistent application of policy	0	0	0	green
Total: People (Childrens)			2,261	1,111	1,150	

MTFS Savings Tracker (2020/21 - 2023/24)
Priority: 2 Month 9 - Quarter 3 Adult

MTFS Savings Ref	Saving proposal	Description	2020/21 Target £'000s	2020/21 Slippage £'000s	2020/21 Saving achieved £'000s	2020/21 Variance £'000s	RAG Status (Delivery of 2020/21 Saving)
B2.7	Haringey Learning Disability Partnership	The Haringey Learning Disability Partnership, working jointly with Children's Services and with key partners such as the Clinical Commissioning Group and the London Borough of Islington, will implement a coherent strategy that aims to bring Haringey's demand and spending on adults with learning disabilities in line with our statistical neighbours and limit growth in spending in line with population growth.	1,490	835	601	(54)	Green
B2.8	Mental Health	Working with our delivery partner, Barnet, Enfield & Haringey Mental Health Trust, the Clinical Commissioning Group and our communities to strengthen the prevention and 'enablement' pathways for mental health and to ensure the support we provide minimises the long-run dependency of adults with mental health issues. For those whose needs require a social care intervention, we will develop the market and look at new commissioning arrangements to improve value for money as well as promoting choice and control for the service user.	550	225	86	(239)	Red
B2.9	Adults OP / PS / SS	Working with the CCG, acute providers and primary care to extend independence, choice and control to those with physical support needs and further strengthen the pathways that prevent, reduce and delay the need for social care.	1,130	40	843	(247)	Green
PA4	Transfer of High Cost Day Opps	Lease three ex-day centre premises to a local provider to support 15-20 service users at reduced cost, and closer to their existing support networks (Ermine Road).	525	425	19	(81)	Amber
PA5	In-House Negotiator	Expand in house Care Negotiator capacity to work with providerson reducing the cost of care packages in relation to overcharging against service user needs.	344	200	0	(144)	Red
	Osbourne Grove	Closure of existing 30-bed nursing home. Re-development for 70-bed nursing home scheduled to open 2023-24.	1,034	0	1,034	0	Green
			5,073	1,725	2,583	(765)	
					77%	As a % of the target	
MTFS Savings Ref	Growth reductions/mitigations	Description	2020/21 Target £'000s	2020/21 Slippage £'000s	2020/21 Saving achieved £'000s	2020/21 Variance £'000s	RAG Status (Delivery of 2020/21 Saving)
	Early Help and Prevention	Draft pro forma received. A final version required mid Sept	188	142	0	(46)	Red
	Carers Support Impact	Early identification of carers, invest in carer support and avoid breakdown of care	36	0	0	(36)	Red
	Early Intervention for Dementia	Outreach and extension of dementia testing and identifying early support: increased quality of life and avoiding crisis and more intensive care packages	97	0	0	(97)	Red
	Increase % of DPs - to 42%	The cost of Direct Payment is approx. £5p/h cheaper than direct provision. By offering more clients direct payments in total cost will be reduced	400	0	400	0	Red
	Outcomes and reablement	By targeting reablement to additional cohorts the outcomes would be improved and as a consequence reduced home care hours would be needed	175	90	0	(85)	Red
			896	232	400	(264)	
Total: People (Adults)			5,969	1,957	2,983	(1,029)	

MTFS Savings Tracker (2020/21 - 2024/25)								
Priority: Housing								
Period: 9 Qtr 3								
2020/21 - 2024/25								
								Red
								Amber
								Green
MTFS Savings Ref	Cabinet Decision Date	Saving proposal	Description	2020/21 £'000s	2020/21 Saving achieved YTD £'000s	2020/21 Projected Full Year Savings £'000s	2020/21 Savings (surplus)/ shortfall £'000s	RAG Status (Delivery of 2020/21 Saving)
Housing								
PL1	43508	Additional HMO Licensing Scheme for HMO	Extend the current Additional Licensing scheme for HMOs not governed by Mandatory Licensing and introduce a Selective Licensing scheme to 20% of its geographical area for all other private sector dwellings covered by the Housing Act 2004. All licensing schemes are intended to address the impact of poor quality housing, rogue landlords and anti-social tenants.	400	400	400	0	Green
HO1	12-Feb-19	Temporary accommodation reduction plan	Reduce TA costs, as detailed in the TA Reduction Plan. Proposals include initiatives to prevent homelessness, improve economic position of those in TA, and help support those in TA to move on. Revenue costs covered by the Flexible Homelessness Support Grant. Plan also includes proposals to increase supply of low cost TA through new purchase, repair and management joint venture partnership, and capital investment in new Community Benefit Society. Please note that due to the additional costs incurred due to unforeseen works at BWF, it may not be possible to meet the projected savings.	708	708	708	0	Green
20/25-HO01	11-Feb-20	Transferring PSLs to the CBS	Private Sector Leasing properties are leased by the Council from private landlords for between one and five years with a guaranteed rent for the term of the lease. Leases are mainly based on 90% of the 2011 LHA plus a £40 a week management fee (the latter being a transfer from FHSG). The CBS has been established to lease properties purchased by the Council to use them as TA or to discharge homelessness. Unlike the Council, the CBS can charge the current (2019) Local Housing Allowance (LHA) for the area the property is located in. Therefore moving these leases could mean total additional rental income of £1.19m if all leases were transferred. This would require, in each case, the landlords agreement to do so and additional incentives may be required. A reduction in savings of 25% has thus been included to account for this and additional costs	68	0	68	0	Amber
Total: Housing				1,176	1,108	68	0	

MTFS Savings Tracker (2020/21 - 2024/25)								
Priority: Place								Red
Period:	Quarter 3 Period 9							Amber
								Green
MTFS Savings Ref	Cabinet Decision Date	Saving proposal	Description	2020/21 £'000s	2020/21 Saving achieved YTD £'000s	2020/21 Projected Full Year Savings £'000s	2020/21 Savings (surplus)/ shortfall £'000s	RAG Status (Delivery of 2020/21 Saving)
Place								
PL4	12-Feb-19	Increase in Moving Traffic Enforcement	The parking and traffic enforcement service enforces moving traffic contraventions at a number of locations. Moving traffic enforcement is undertaken by CCTV camera. Capital investment £40k - Infrastructure measures	40	10	30	0	Green
PL7	12-Feb-19	Litter Enforcement	The proposal is to consider the option for an in-house service provision based on a pilot with an external contractor, Kingdom, from November 2016 to September 2017. An in-house litter enforcement provision would enable the Council to retain 100% of all Fixed Penalty Notice (FPN) income received.	100	0	35	65	Red
PL9	12-Feb-19	Leisure centre concessions	Reduce the number of people eligible for concessionary rates at Fusion Leisure Centres.	0	0	25	(25)	Amber
PL13	12-Feb-19	Parking Transformation Programme	Parking Transformation Programme to deliver significant improvements to this service over the coming three years. Includes a CPZ rollout programme taking the borough to 100% coverage, and extending parking permit charging models to tackle	500	0	344	156	Red
20/25-PL01	11-Feb-20	Selective Licensing	To consider Selective Licensing of the Private Rented sector for 60% of the Borough. The overall aim is to improve living standards and make landlords accountable for the management of their properties. The proposal is for a Selective Licensing fee of £600. Saving assume 60% of the Borough will be licenced. Saving relate to existing costs of established positions (highlighted in	0	0	125	(125)	Red
20/25-PL03	11-Feb-20	CCTV enforcement of weight limits and emissions through ANPR/DVLA check	Use of new technology cameras to record vehicle reg plates and immediately look up DVLA database to establish vehicle weight and emissions. Will require significant investment in infrastructure and back office arrangements.	62	0	58	4	Red
20/25-PL04	11-Feb-20	Increase permit charges for highest emitting 'petrol' vehicles	A flat fee increase in Permit charge for the most polluting petrol emission band(s). Note a flat fee increase for diesel vehicles is already under consideration within Parking Action Plan and Parking Transformation. The new IT system would allow us to implement more dynamic permit and on street charges. The IT	75	7	6	62	Red
20/25-PL05	11-Feb-20	Increased trade waste	Invest to save model by increasing enforcement of trade waste to drive up compliance and income. Ensure time banding is adhered to and traders do not use residential collection services for their waste. Offending traders to be visited by Veolia-Haringey sales team. A three-month trial is recommended to quantify the overall benefits of this project to LBH. Traders who appear to be without contracts and traders who appear to have insufficient capacity will be visited.	25	0	75	(50)	Green
20/25-PL06	11-Feb-20	Contact Centre Efficiencies	The Veolia Contact Centre resource consists of ten staff who deal with reports of missed collections, cleansing requests, complaints and taking payment for charged services (e.g. Green Waste and Bulky collections). This proposal is to reduce the size of the team by two staff, seeking to channel shift customers online but ultimately accepting a lower level of responsiveness to customers (performance is currently high).	0	0	0	0	Red
20/25-PL11	11-Feb-20	New Lease Income v2	The Parks Service receives income from leases on a range of buildings in parks. Some of these leases have completed recently or will complete in next couple of years. The renegotiation of these leases on new rates could deliver a further £20k saving from 2021/22.	0	0	20	(20)	Green
20/25-PL14	11-Feb-20	Parking Transformation Programme	The Parking Transformation Programme (PTP) is a series of parking related projects and workstreams, which seeks to increase income and provide a more efficient and effective service.	1,360	0	50	1,310	Red
20/25-YC09	11-Feb-20	Maximising filming income & venue management	To make Haringey more attractive to film companies by identifying vacant buildings for meanwhile use as production bases, and by making parking easier in order to generate income	6	0	680	(674)	Red
Total: Place				2,998	17	1,448	1,533	0

MTFS Savings Tracker (2020/21 - 2024/25)
Priority: Economy
Period: Quarter 3 Period 9

								Red
								Amber
				20/21 - 2024				Green
MTFS Savings Ref	Cabinet Decision Date	Saving proposal	Description	2020/21 £'000s	2020/21 Saving achieved YTD £'000s	2020/21 Projected Full Year Savings £'000s	2020/21 Savings (surplus)/ shortfall £'000s	RAG Status (Delivery of 2020/21 Saving)
Economy								
20/25-EC03	11-Feb-20	Alternative funding model for sites delivery work	Regeneration officers are engaged in a significant amount of work on the delivery of sites which will result in new housing (including affordable housing). This proposal is to review that activity and	100	100		0	Green
EC5	12-Feb-19	Outdoor media advertising	Proposal to generate new income from outdoor media, utilising the council's landholdings by identifying sites suitable for outdoor installations. It is estimated that net income in 2020/21 would be at least £100k, and increasing significantly over future years.	15			15	Red
20/25-EC02	11-Feb-20	Reduction of North Tottenham Regeneration revenue budgets	The proposal is to reduce general fund revenue costs in North Tottenham budgets (Northumberland Park and High Road West)	75	75		0	Green
20/25-EC01	11-Feb-20	Head Lease Acquisition Programme	The proposal is to allocate capital budget to enable the acquisition by the Council of as many head-leases as possible on sites where the Council already owns the freehold, in order for the Council to stop paying rent to these landlords and to receive all of the passing rent from those properties which are tenanted by commercial or other tenants.	100			100	Red
20/25-EC04	11-Feb-20	Use of Strategic Acquisitions budget for sites delivery work	The Regeneration service has submitted a bid for new capital funding for Employment-Led sites delivery. This proposal would seek to offset the impact of these costs on revenue budgets. The proposal is to identify costs within the service that are eligible for this funding, and to apply LBH Capital to offset LBH revenue spend. Achieving these savings will require a corresponding	75	75		0	Green
20/25-EC05	11-Feb-20	Increased capitalisation of staff time and project costs	As of 19/20, the Regeneration service has rapidly increased its capitalisation of costs, which is now high in all Area Regeneration budgets. The proposal is to capitalise further, using an increased capital budget for Tottenham Hale. A bid to increase the existing Streets & Spaces and Green & Open Spaces capital lines (Schemes 401 and 402) has been submitted, on the grounds of construction inflation and increased capitalisation requirements.	75	75		0	Green
20/25-EC06	11-Feb-20	Increased recharge to HRA	The service is now engaged in a significant amount of work on estates and on the delivery of new affordable housing, which would be eligible for HRA spend. The proposal is to increase the amount of revenue funding provided from the HRA each year. A review of the HRA budget is underway, and it is proposed that this work accommodates an increased recharge from Regeneration on a yearly basis, reflecting new workstreams on estates and towards the delivery of affordable housing.	100	100		0	Green
20/25-EC07	11-Feb-20	HRP Senior Restructure	In June 2019, the S&R committee approved the senior management restructure within Housing, Regeneration & Planning. With a number of changes taking place within the Directorate, the restructure was an opportunity to streamline the structure, align responsibilities to achieve maximum efficiency and eliminate duplication while recognising the need to build a confident and stable approach to Housing, Regeneration and Planning.	30	30			Green
20/25-EC08	11-Feb-20	Strategic Property Unit – New Income Outdoor Media	This proposal comprises an opportunity to achieve new income potential by securing rental payments from outdoor media companies. This includes digital billboards and an innovative building wrap with a digital display for advertising purposes and council messages.	100	50		50	Amber
20/25-EC09	11-Feb-20	Strategic Property Unit – New Income Rent Reviews	The saving arises from rent reviews that have been identified as overdue. Two agency employees have achieved the target savings in the years 2018/2020 to date and further savings have been identified and agreed with tenants as rent increases.	100	50		50	Amber
20/25-EC10	11-Feb-20	Strategic Property Unit – New Income Sg	This proposal comprises an opportunity to achieve new income potential by securing rental payments from Mobile Operators and Infrastructure providers.	20			20	Red
20/25-HO02	11-Feb-20	HfH and Council Housing Programme-funding for Carbon Management team time	The Carbon Management Team undertakes a significant amount of work for Homes for Haringey and the Council housing delivery team. This proposal would make provision for the Carbon Management Team to recharge the Housing Revenue Account for this work. This work is undertaken by staff funded through general fund revenue budgets, and as such an equivalent saving can be made to the general fund revenue budget through recharge from the HRA.	40		40		Green
Total: Economy				830	555	40	235	

MTFS Savings Tracker (2020/21 - 2024/25)
Priority: Your Council
Period: Quarter 3 Period 9

MTFS Savings Ref	Cabinet Decision Date	Saving proposal	Description	2020/21 £'000s	2020/21 Saving achieved YTD £'000s	2020/21 Projected Full Year Savings £'000s	2020/21 Savings (surplus)/ shortfall £'000s	RAG Status (Delivery of 2020/21 Saving)
Your Council (incl Council-Wide)								
YC1	12-Feb-19	Out of home advertising income generation	The proposal is to recommission the street furnishing advertising contract. Moving to digital display to ensure communication messages can be updated quickly, and to remove printing costs.	5	0	0	5	Amber
20/25-YC02	11-Feb-20	Income from joining the London Counter Fraud Hub	The London Counter Fraud Hub, managed by CIPFA, is a counter fraud service developed to supply data analytics, investigations and recoveries service for London local authorities and the City of London Corporation. Unlike traditional data matching hubs, this project is an end-to-end service providing expert advice and operational support around sophisticated	25	25	0	0	Green
20/25-YC03	11-Feb-20	The proposal is to increase the income target of providing legal services to Haringey Clinical Commissioning Group (CCG) by £30K.	The proposal is to increase the income target of providing legal services to Haringey Clinical Commissioning Group (CCG) by £30K. In December 2017, the Council's Legal Services entered into a Service Legal Agreement (SLA) with Haringey CCG to provide legal support with the CCG cases within the Haringey Learning Disability Partnership. These are cases relating to incapacitated patient that requires an application to the Court of Protection to safeguard their welfare. They include cases in the Transforming Care Programme. Since the SLA, Haringey CCG has been referring cases to Legal Services and the feedback of the support has been positive. The arrangement has enabled the CCG to access the Council's in-house legal expertise which is more cost effective. The support and encouragement of Adult Social Care, Children Services, Commissioning and Public Health for the CCG to utilise our in-house provision is crucial. The proposal compliments the Borough Plan - Priority 2 - People The proposal is dependent on a slight increase in the level of new instructions from CCG to Legal Services.	30	30	0	0	Green
20/25-YC04	11-Feb-20	Finance Savings	The proposal seeks to make efficiency savings across the Finance function from a combination of: * Increased income - from providing services to external bodies and further revisions to recharging to non-GF heads * Reductions to the staff establishment enabled by the embedding of the Business Partner model * Longer term staff savings arising from the planned update or replacement of the Council's current finance system. These savings are not expected to be realised until 2022/23	340	340	0	0	Green
20/25-YC07	11-Feb-20	Extend Customer First approach to Wider Council	Extend Customer First model to all Council services based on analysis made in preparation for the current Customer First programme. The objective is to ensure all residents in need of support can receive it because those requiring straightforward advice and information can have easy access 24/7. An improved digital offer would ensure that the customer journey was straightforward and simple to navigate and automation of back-office processes would both speed up and improve the quality and accuracy of service to residents and reduce cost.	0	0	0	0	
20/25-YC08	11-Feb-20	The proposal is to use Flexible Capital Receipts to fund ALL posts in the CPMO.	The proposal is to use Flexible Capital Receipts to fund some posts in the CPMO. The justification is that, while it is difficult to estimate the proportion of time that each 'delivery' staff member will spend on individual projects in a year, most will by definition be working on change projects for the majority of their time.	92	47	0	45	Amber
20/25-YC11	11-Feb-20	Review of Corporate Centre	We are looking at ways to reconfigure the corporate centre in the light of the LGA Corporate Peer Review recommendations as set out in their final report published in February 2019. One aspect of this is the recommendation to bring together the teams with skills in policy and strategy, data analysis, and problem solving, which, the LGA peers argued, would in itself help to provide better support to the organisation. There are currently 5 senior posts leading these teams: Head of Policy and Cabinet Support at Head of Service level, and leads at PO7 and above in Policy, the Leader's office, the Corporate Delivery Unit (CDU), and Performance and Business Intelligence. The proposal is to reduce the number of senior posts to 4.	214	187	0	27	Amber
20/25-YC12	11-Feb-20	Digital Services - Proposed Contribution	The proposal is for the Capitalisation of infrastructure staff who support the delivery of programmes/projects. This will either be via Capital receipts used to pay for staff who work on tranformative initiatives or Capital funds where staff produce a tangible asset in relation to the work undertaken.	345	345	0	0	Green
Total: Your Council				2,934	1,813	57	1,064	

Capital						Appendix 4	
2020/21 Capital Monitoring, @ Period 9 (Dec 2020) Projection Sheet			20/21 Full year Revised Budget	2020/21 Full year Forecast Outturn	Budget Variance (Underspend) / Overspend	Previous Month's Forecast B/4 Capital Slippage	Variance Btw. Forecasts
SCHEME REF	SCHEME NAME	£,000	£,000	£,000	£,000	£,000	£,000
101	Primary Sch - repairs & maintenance	8,700	6,908	(1,792)	8,700	(1,792)	
102	Primary Sch - mod & enhance (Inc SEN)	12,372	5,397	(6,975)	8,386	(2,989)	
103	Primary Sch - new places	379	439	60	1,144	(705)	
104	Early years	205	0	(205)	0	0	
109	Youth Services	400	13	(387)	0	13	
110	Devolved Sch Capital	510	510	(0)	531	(21)	
114	Secondary Sch - mod & enhance (Inc SEN)	7,650	3,185	(4,465)	6,703	(3,518)	
117	Children Safeguarding & Social Care	495	0	(495)	0	0	
118	Special Educational Needs Fund (New Provision Fund)	0	0	0	0	0	
121	Pendarren House	1,972	658	(1,314)	0	658	
123	Wood Green Youth Hub	250	0	(250)	0	0	
199	P1 Other (inc Con't & Social care)	223	337	114	615	(278)	
People - Children's		33,157	17,447	(15,710)	26,079	(8,632)	
201	Aids, Adap's & Assistive Tech -Home Owners (DFG)	2,679	2,679	0	2,361	319	
207	New Day Opp's Offer	547	164	(383)	123	42	
208	Supported Living Schemes	4,059	0	(4,059)	0	0	
209	Assistive Technology	1,508	697	(811)	820	(124)	
211	Community Alarm Service	177	177	0	180	(3)	
212	Linden House Adaptation	581	581	(0)	581	(0)	
213	Canning Crescent Assisted Living	4,830	(96)	(4,926)	(112)	16	
214	Osborne Grove Nursing Home	3,000	40	(2,960)	11	29	
216	Homelessness Hub	0	(0)	(0)	(0)	(0)	
217	Burgoyne Road (Refuge Adaptations)	500	0	(500)	0	0	
218	Social Emotional & Mental Health Provision	300	0	(300)	0	0	
People - Adults		18,181	4,240	(13,940)	3,963	278	
301	Street Lighting	1,300	1,050	(250)	1,300	(251)	
302	Borough Roads	4,573	4,073	(500)	4,463	(390)	
303	Structures (Highways)	1,490	1,023	(467)	1,490	(467)	
304	Flood Water Management	620	620	0	620	(0)	
305	Borough Parking Plan	624	395	(229)	260	135	
307	CCTV	2,211	1,341	(870)	1,967	(626)	
309	Local Implementation Plan(LIP)	1,744	834	(910)	1,127	(293)	
310	Developer S106 / S278	750	7	(743)	209	(202)	
311	Parks Asset Management:	397	257	(140)	309	(52)	
313	Active Life in Parks:	960	315	(645)	485	(170)	
314	Parkland Walk Bridges	2,620	452	(2,168)	900	(448)	
317	Down Lane MUGA	413	413	0	413	1	
320	LCP - Dynamic Purchasing System	0	(45)	(45)	0	(46)	
321	MOPAC - Crime & Disorder Reduction	49	(38)	(87)	(38)	0	
322	Finsbury Park	711	80	(631)	141	(61)	
323	Parking Strategy	1,258	658	(600)	663	(5)	
325	Parks Vehicles	720	0	(720)	0	0	
328	Street & Greenspace Greening Programme	293	293	0	293	0	
329	Park Building Carbon Reduction and Improvement Programme	250	150	(100)	250	(100)	
331	Updating the boroughs street lighting with energy efficient Led light bulbs	3,500	3,250	(250)	3,500	(250)	
332	Disabled Bay/Blue Badge	374	100	(274)	100	0	
333	Waste Management	70	8	(62)	70	(62)	
334	New River Acquisition	3,100	3,163	63	0	3,163	
335	Streetsplan	270	0	(270)	270	(270)	
419	NPD Phase 2 LBH Match Funding	3	(2)	(5)	(2)	0	
399	P3 Other	0	0	0	(120)	120	
119	School Streets	850	145	(705)	0	145	
444	Marsh Lane	10,310	5,607	(4,703)	7,316	(1,709)	
447	Alexandra Palace - Maintenance	470	470	0	470	0	
451	Alexandra Palace -West Yard	1,430	1,430	0	1,930	(500)	
472	JLAC Match Fund	1,250	207	(1,043)	0	207	
606	Hornsey Library Refurbishment	2,216	2,293	77	2,512	(219)	
621	Libraries IT and Buildings upgrade	2,751	283	(2,468)	1,498	(1,215)	
652	Libraries - Re-imaging our Libraries offer for a better future	650	0	(650)	0	0	
Place - Safe & Sustainable Places		48,228	28,832	(19,396)	32,398	(3,566)	

Capital						Appendix 4	
2020/21 Capital Monitoring, @ Period 9 (Dec 2020) Projection Sheet			20/21 Full year Revised Budget	2020/21 Full year Forecast Outturn	Budget Variance (Underspend) / Overspend	Previous Month's Forecast B/4 Capital Slippage	Variance Btw. Forecasts
SCHEME REF	SCHEME NAME	£,000	£,000	£,000	£,000	£,000	£,000
401	Tottenham Hale Green Space	1,002	1,774	772	1,002	772	
402	Tottenham Hale Streets	4,721	1,510	(3,211)	3,662	(2,152)	
4003	Tottenham Hale Housing Zone Funding	2,332	2,292	(40)	1,332	960	
404	Good Economy Recovery plan	250	250	0	250	0	
405	Pendarren House	0	0	0	611	(611)	
406	Opportunity Investment Fund	926	314	(612)	928	(614)	
411	Tottenham Heritage Action Zone (HAZ)	314	0	(314)	484	(484)	
415	North Tott Heritage Initiative	653	124	(529)	248	(124)	
418	Heritage building improvements	2,500	1,244	(1,256)	2,500	(1,256)	
421	HRW Acquisition	20,290	3,325	(16,965)	10,299	(6,975)	
427	White Hart Lane Public Realm (LIP)	24	0	(24)	0	0	
429	Site Acq (Tott & Wood Green)	50,267	7,648	(42,619)	11,244	(3,596)	
430	Wards Corner CPO	0	0	0	0	0	
434	Wood Green Regeneration	113	11	(102)	113	(103)	
435	Wood Green Station Road	0	(3)	(3)	0	(3)	
438	Vacant possession Civic Centre (Woodside House Refurbishment)	169	169	(0)	169	0	
450	Winkfield Road (Maya Angelou Centre)	61	53	(8)	53	(0)	
452	Low Carbon Zones	109	8	(101)	5	3	
464	Bruce Castle	1,557	0	(1,557)	0	0	
465	District Energy Network (DEN)	1,480	452	(1,028)	242	210	
468	Keston Road (Community Centre Reprovision)	75	75	0	75	0	
470	Wood Green HQ, Library & Customer Service Centre	3,107	105	(3,002)	1,519	(1,415)	
471	Tailoring Academy Project	20	0	(20)	20	(20)	
473	Enterprising Tottenham High Road (ETHR)	500	277	(223)	401	(124)	
474	Tottenham High Road Strategy	500	0	(500)	500	(500)	
475	Heart of Tottenham (HOT)	160	520	360	814	(294)	
477	Strategic Regeneration Initiatives & Community Assets	0	193	193	(0)	193	
478	Wood Green Good Growth Fund	121	255	134	220	36	
479	54 Muswell Hill Health Centre	1,040	0	(1,040)	0	0	
480	Wood Green Regen (2)	4,880	961	(3,919)	4,880	(3,919)	
481	Strategic Investment Pot	850	2	(849)	852	(850)	
482	Strategic Property	3,929	0	(3,929)	0	0	
483	Production Valley Fund (SIP)	711	19	(692)	475	(456)	
488	Liveable Seven Sisters (LSS)	477	0	(477)	30	(30)	
493	Bruce Grove Yards (BGY)	30	1	(29)	0	1	
4001	Maintenance of Tottenham Green Workshops	700	157	(543)	0	157	
4002	Northumberland Park estate area public realm	500	0	(500)	500	(500)	
4005	SME Workspace Intensification	320	320	0	320	0	
4006	Acquisition of head leases	10,000	0	(10,000)	5,000	(5,000)	
4007	Tottenham Hale Decentralised Energy Network (DEN)	0	0	0	0	0	
4008	Wood Green Decentralised Energy Network (DEN)	0	0	0	0	0	
4009	Additional Carbon Reduction Project	1,500	0	(1,500)	0	0	
4010	Selby Urban Village Project	684	313	(371)	684	(371)	
4011	Commercial Property Remediation	500	95	(405)	0	95	
4993	Pride in the High Road (PITHR)	300	0	(300)	300	(300)	
Economy - Growth & Employment		117,671	22,461	(95,210)	49,732	(27,270)	

Capital			Appendix 4			
2020/21 Capital Monitoring, @ Period 9 (Dec 2020) Projection Sheet		20/21 Full year Revised Budget	2020/21 Full year Forecast Outturn	Budget Variance (Underspend) / Overspend	Previous Month's Forecast B/4 Capital Slippage	Variance Btw. Forecasts
SCHEME REF	SCHEME NAME	£,000	£,000	£,000	£,000	£,000
509	CPO - Empty Homes	2,050	0	(2,050)	0	0
512	Wholly Owned Company	5,000	0	(5,000)	0	0
513	54 Muswell Hill Flats	678	0	(678)	0	0
514	Notting Hill Housing Group (4 Ashley Road)	2,970	0	(2,970)	0	0
Housing (GF) Homes & Communities		10,698	0	(10,698)	0	0
601	Business Imp Programme	122	35	(87)	60	(25)
602	Corporate IT Board	3,015	1,850	(1,165)	1,849	0
603	ICT Shared Service - Set Up / Seed Money	1,434	200	(1,234)	200	0
604	Continuous Improvement	2,676	666	(2,010)	654	12
605	Customer Services (Digital Transformation)	561	0	(561)	83	(83)
607	Financial Management System Replacement	1,100	1,601	501	1,600	1
622	Customer First	1,601	1,954	353	2,218	(264)
639	Ways of Working	330	90	(240)	0	90
640	Accommodation Move	92	58	(34)	12	46
650	Connected Communities	700	205	(495)	702	(497)
653	Capital Support for IT Projects	850	0	(850)	400	(400)
698	Responsiveness Fund	0	0	0	0	0
654	Covid 19 Contingency Budget	4,750	0	(4,750)	0	0
316	Asset Management of Council Buildings	7,321	3,912	(3,409)	7,571	(3,659)
330	Civic Centre Works	2,750	769	(1,981)	1,000	(231)
699	P6 - Approved Capital Programme Contingency	416	416	0	1,516	(1,100)
Your Council		27,718	11,755	(15,962)	17,866	(6,111)
TOTAL GF CAPITAL PROGRAMME		255,652	84,736	(170,916)	130,038	(45,301)
Housing (HRA) Housing Revenue Account						
202	HRA - P2 Aids, Adap's & Assist Tech -Council	1,943	1,083	(860)	1,943	(859)
550	New Homes Acquisition	89,354	49,744	(39,610)	19,274	30,469
551	Existing Home Acquisitions - TA	41,001	19,929	(21,072)	15,055	4,874
552	HRA – P5 Carbon Reduction	1,000	250	(750)	250	0
553	HRA – P5 Fire Safety	17,513	4,083	(13,430)	3,867	216
554	Broadwater Farm Project	17,900	7,795	(10,105)	8,951	(1,156)
590	HRA - P5 Homes for Haringey (HFH)	72,802	23,352	(49,450)	29,332	(5,980)
591	HRA - P4 HRW Leaseholder Acq	0	1	1	1	0
593	HRA - P5 Stock Acq	0	0	0	0	0
594	HRA - P5 New Build	0	79	79	65	14
599	New Homes Build Programme	45,623	7,528	(38,095)	9,422	(1,894)
TOTAL HRA CAPITAL PROGRAMME		287,136	113,845	(173,291)	88,161	25,684
OVERALL CAPITAL PROGRAMME		542,788	198,581	(344,207)	218,199	(19,617)

Virements for Cabinet Approval
Appendix 5
Transfers from Reserves - for noting

Period	Priority	Service/AD Area	Rev/ Cap	In year	Next year	Reason for budget changes	Description
10	People	Childrens	Revenue	371,000		Budget Funding Allocation	Drawdown from Transformation Reserve to fund the Children's transformation programme

Virements for Approval (2020/21)

Period	Priority	Service/AD Area	Rev/ Cap	In year	Next year	Reason for budget changes	Description
8	People	Childrens	Revenue	730,280	597,900	Budget Realignment	Realignment of the Hearing Impairment Budget to move the costs from Inclusive Service to Learning Support Services
8	Place	Environment and Neighbourhood	Revenue	380,064		Grant Funding Allocation	MOPAC Victims Reduction Unit and MHCLG Surge Enforcement Revenue Grant Allocations for 2020-21
8	People	Childrens	Revenue	1,229,999	1,229,999	Budget Realignment	Realignment of Early Help & Family Support income recharge budgets to reflect actual performance
8	People	Childrens	Revenue	528,255	528,255	Budget Realignment	Realignment of the Adoption and Permanency budget to match the Regional Adoption Agency contribution for 2020-21
9	Your Council	Customer, Transformation and Resources	Revenue		5,178,686	Budget Realignment	Realignment of HR Budget 2021-22 to move the existing budgets into new cost centres to match the new structure
9	Economy	Regeneration & Economic Development	Revenue	3,313,550	3,313,550	Budget Realignment	Realignment of Regeneration budget for 2020-21 in line with the current approved restructure.
9	Economy/Place	Capital Projects and Property	Revenue	5,378,000	2,902,000	Budget Realignment	Realignment of Soft Facilities Management Budget from Economy to Place to align with the revised management structure
10	People	Childrens	Revenue	282,300	282,300	Budget Realignment	Realignment of staffing budgets from Safeguarding & Support Service team to Vulnerability, Violation and Exploitation team
10	Economy	Planning, Building Standards and Sustainability	Revenue	300,000	300,000	Budget Realignment	Realignment of Building Control budget to reflect actual performance
10	People	Childrens	Revenue	525,000	525,000	Budget Realignment	Realignment of the Children's Legal budget between Disbursements and Legal Internal Fees
10	People	Commissioning	Revenue	365,522		Grant Funding Allocation	Allocation of Local Authority Emergency Assistance Grant Funding for Covid-19 food and essential supplies
		Total 2020/21		13,403,970	14,857,690		

Grant Acceptance for Cabinet

Source	Date of Award	Period	Amount	Programme	Description
MHCLG	29/01/2021	2020-21	£1,200,000	NSAP	CONTINGENCY FUND GRANT DETERMINATION (2020-21) [No. 31/5318]
GLA	29/10/2020	1/01/21- 31/03.2	£1,215,376	MD2687 The Mayor's Rough Sleeping Accommodation Programme	AGREEMENT FOR THE PROVISION OF FUNDING RELATING TO Haringey Ermine Road
MHCLG	05/01/2021	2020-21	£141,000	Protect Programme	Protect Programme funded services
MHCLG	13/11/2020	2020-21	£260,000	NSAP	Next steps Accommodation
MHCLG	29/12/2020	2020-21	£140,000	COLD WEATHER FUND GRANT	COLD WEATHER FUND GRANT (2020-21) - HARINGEY

Proposed Capital Virements for Quarter Three				
Priority	Scheme Number	Scheme Description	Budget Adjustment (Virement) (£)	Scheme Description
People - Children's	102	Primary Sch - mod & enhance (Inc SEN)	(30,000)	Budget realignment
People - Children's	109	Youth Services	(250,000)	Budget realignment
People - Children's	110	Devolved Sch Capital	(20,773)	Budget realignment
People - Children's	121	Pendarren House	1,972,000	Budget realignment
People - Children's	123	Wood Green Youth Hub	250,000	Budget realignment
			1,921,227	
People - Adults	201	Aids, Adap's & Assistive Tech - Home Owners (DFG)	317,909	Additional DFG award in 2020/21
			317,909	
Place	119	School Streets	250,000	Budget transfer from Covid-19 Contingency budget
Place	302	Borough Roads	110,000	Budget realignment
Place	311	Parks Asset Management:	50,000	Budget realignment
Place	311	Parks Asset Management:	100,000	Budget realignment
Place	313	Active Life in Parks:	(50,000)	Budget realignment
Place	313	Active Life in Parks:	28,900	Events Income drawdown from
Place	322	Finsbury Park	50,000	Events Income drawdown from
Place	322	Finsbury Park	31,000	Events Income drawdown from
Place	322	Finsbury Park	30,000	Budget realignment
Place	323	Parking Strategy	100,000	Weights restriction cameras budget financed by Flexible capital receipt
Place	329	Park Building Carbon Reduction and Improvement Programme	(100,000)	Budget realignment
Place	334	New River Acquisition	1,100,000	Budget transfer from Capital Contingency
Place	334	New River Acquisition	2,000,000	Budget realignment
Place	399	P3 Other	(110,000)	Budget realignment
Economy	472	JLAC Match Fund	250,000	Budget realignment
			3,839,900	
Economy	405	Pendarren House	(1,972,000)	Budget realignment
Economy	470	Wood Green HQ, Library & Customer Service Centre	3,107,000	Budget realignment
			1,135,000	
Your Council	470	Wood Green HQ, Library & Customer Service Centre	(3,107,000)	Budget realignment
Your Council	316	Asset Management of Council Buildings	(250,000)	Budget realignment
Your Council	654	Covid 19 Contingency Budget	(250,000)	Budget transfer to School Streets
Your Council	698	Responsiveness Fund	(2,000,000)	Budget realignment
Your Council	699	P6 - Approved Capital Programme Contingency	(1,100,000)	Budget transfer to New River Acquisition
			(6,707,000)	
		OVERALL TOTAL =	507,036	

APPENDIX 6 - Debt Write Offs

Write off Summary Report Quarter 3

All Council debt is considered recoverable and the Corporate Debt Recovery Team will make every necessary effort to collect charges due to the Council. However, there are some circumstances when it is appropriate to write off a debt once all forms of recovery action have been exhausted.

Council Debt is written off in line with the instructions set out within the Financial Regulations, following Court instruction or in accordance with the Limitations Act 1980.

This quarterly summarised report is for information purposes only and, the aged debts that have been written off during the quarter from 1st October 2020 – 31st December 2020 (Qtr 3) relate to delinquent accounts, where all forms of recovery action have now been fully exhausted. The sums approved for write off by the Director of Finance under his delegated authority have been adequately provided for in the Council's Bad Debt Provision.

Quarter 3 Summary: -

The table below summarises the write offs by service type, financial value and volume.

	Quarter 3 Write Off, Financial Period 1 October - 31st December 2020								
Service	Council Tax	NNDR	HBOP	HRA Rent	Leaseholder	Commercial Rent	Sundry Debt	Parking	Total
Under £50k	£279,833.17	£304,964.93	£148,641.96			£35,353.63	£32,138.42	£15,373,420.48	£16,174,352.59
Volume	365	61	82			5	99	87913	88525
Over £50k									£0.00
Volume									0
Total Value	£279,833.17	£304,964.93	£148,641.96	£0.00	£0.00	£35,353.63	£32,138.42	£15,373,420.48	£16,174,352.59
Total Volume	365	61	82	0	0	5	99	87913	88525

The Quarter 3 **Council Tax** Write off for this period comprises 88% 'Absconded Charge Payers', with the remaining 12% being made up of 'Petty Amounts', 'Insolvency', 'Deceased' and 'Statute Barred'.

Business Rates (NNDR) write offs for Quarter 3, compromise mainly of 'Insolvency' **£197k** and 'Absconded Charge Payers' **£53k**, the remaining being made up of 'Statute Barred' and 'Petty Amounts' **£54k**. All the accounts were reviewed to ensure that all methods of recovery had been exhausted.

The Quarter 3 **£148k Housing Benefit Overpayment** write offs relate to 'Insolvency', 'Deceased', 'Whereabouts Unknown', 'Statute Barred' and 'Uneconomic to Pursue'.

The **Commercial Rent** Team submitted 5 write offs all 'Recommended by Legal' totalling £35k.

The **Sundry Debt** write offs for Quarter 3 are all for 'Deceased' accounts; this is part of a review that the team are carrying out within the Adults Social Care accounts. This review is ongoing and there is likely to be a larger volume and value submitted in Quarter 4.

The **Parking** team submitted their first write off since March 2019 for £15m, for PCNs pre 2019, as the prospect of recovering these cases was unlikely. Warrants only being valid for one year and the enforcement agents have exhausted the recovery process. It is normal practice for parking services to complete this kind of write off, normally this would be completed annually, however the amount shown here in effect covers two financial years as this was not completed as would normally be the case in early 2020 as the pandemic began to take effect. There is a provision that covers this cost fully and the amount is not exceptions compared to previous years.

APPENDIX 6A

Debt Write off Greater than £50,000.

All large businesses or organisations expect a certain level of income to become irrecoverable and therefore plan for some levels of write-offs. Occasionally, for a variety of reasons, debts do arise which become irrecoverable. Under Haringey's constitution debts of £50,000 or more require the approval of the Cabinet member for finance or Cabinet.

Details of the 3 debts over £50,000 presented for write off in this quarter are set out below. The Council's bad debt provisions are sufficient to cover the full value of these write-offs.

Housing Rent Former Account - £60,765.63

This related to a protracted legal case involving a resident with particular complex accommodation needs, whose Council residence had become unsuitable and where several alternative offers of accommodation were made and rejected. The case spanned many years (2006 – 2017), but the Council's Legal Team and the family's solicitor agreed terms. The terms agreed included the waiving of the arrears that had built up.

Homes for Haringey Residential Community Interest Company (Company No. 09543450) Trading as Move 51 Degrees North - £302,500.00

Move 51 was a Community Interest Company that was set up by Homes for Haringey and Haringey Council in 2015 to provide a residential lettings service across the borough of Haringey. The company struggled to generate lettings take up in a competitive market where many competitors were moving to online offerings. Ultimately the decision was taken to cease operations in 2016, and the company has been dormant since then and requires to be wound up.

Urban Futures in Voluntary Liquidation - £618,416.94

Urban Futures were previously a Haringey Council led joint enterprise initiative set up in 2000, between several public bodies, notably London Boroughs of Haringey/ Enfield, Technical Education Centre and Lee Valley Partnership. Haringey Council provided payroll services for Urban Futures for a small annual fee on the basis that the work done by the company would be beneficial for residents of the borough struggling to return to employment.

Urban Futures lapsed on its payroll repayments to the Council, a number of meetings were held and arrangements put in place to repay the outstanding debt which totalled nearly £1m at its highest, but in 2017 the company filed for liquidation. Formal insolvency proceedings have now been completed, and after much recovery work by the Council, the balance of unrecouped funds of £618k (which includes £49k of statutory interest) is required to be written off.

Covid 19 Grants
APPENDIX 7

Type of Grant	Total Received
Emergency Funding	26.74
Welfare	8.87
Track & Trace / Outbreak Mgt	8.71
Business Support	158.33

Date Announced	Grant	Grant Type	What for	£m
25/03/2020	Share of £1.6bn	Emergency	Support for Covid-19 issues	8.09
28/04/2020	Share of £1.6bn (2nd tranche)	Emergency	Support for Covid-19 issues	7.37
16/07/2020	Tranche 3 of COVID Funding announced:	Emergency	Support for Covid-19 issues	2.91
22/10/2020	Tranche 4 Emergency Funding (for Councils this winter) - share of £900m	Emergency	Support for Covid-19 issues	8.37
Total		Emergency		26.74

25/03/2020	Share of £500m	Welfare	Hardship Fund	3.66
10/07/2020	Local Authority Emergency Assistance Grant for Food and Essential Supplies	Welfare	This additional £63 million of funding is intended to help local authorities to continue to support those struggling to afford food and other essentials over the coming months due to COVID-19. This funding sits alongside, but is in addition to, the £6.5 billion of extra support the Government is providing through the welfare system to ensure the most vulnerable in our society are protected throughout this crisis.	0.37
14/08/2020	Wellbeing for Education Support Grant	Welfare	to support the Wellbeing for Education Return project which seeks to better equip education settings to support pupils and students' wellbeing and psychosocial recovery as they return to full-time education this autumn	0.03
17/09/2020	Next Steps Accommodation Prog	Welfare	Regional funding - London incl. CoL	0.26
	Next Steps Accommodation Prog	Welfare	Additional funding	1.24
17/03/2020	Rough Sleeping Fund	Welfare	emergency support for rough sleepers during coronavirus outbreak	0.03
21/10/2020	Rough Sleeping Fund	Welfare	Mayor's new Rough Sleeping Accommodation Programme (RSAP).	1.20
02/11/2020	Shielding - for clinically extremely vulnerable	Welfare	The funding will be an unringfenced section 31 grant to provide maximum flexibility, but we expect councils to use the funding to deliver the activities and outcomes outlined in the Shielding Framework.	0.13
10/11/2020	Covid Winter Grant Scheme	Welfare	The Winter Grant Scheme will enable LAs to provide support to families with children, other vulnerable households and individuals from early December 2020 and covers the period until the end of March 2021.	0.99
12/11/2020	Holiday Activities and Food Programme (Funding for Free School Meals) - understood to start in Easter 2021 - details not released yet	Welfare	The purpose of the grant is for local authorities to make free places at holiday clubs available in the Easter, summer and Christmas school holidays in 2021. This will be made available to children in the local authority area who are eligible for and receive benefits-related free school meals.	0.97
Total		Welfare		8.87

25/01/2021	Community Chapions	Track & Trace / Outbreak Mgt	The Community Champions: Local Authority Fund aims to support a range of interventions to build upon, increase or improve existing activities to work with residents who are most at risk of Covid-19 - helping to build trust and empower at-risk groups to protect themselves and their families. The broader aim is to reduce the impact of the virus on all communities, beyond just the target areas that we will work with through this scheme	0.29
43,991.00	Infection Control Fund	Track & Trace / Outbreak Mgt	The primary purpose of this fund is to support adult social care providers, including those with whom the local authority does not have a contract, to reduce the rate of COVID-19 transmission in and between care homes and support wider workforce resilience.	0.72
44,102.00	Infection Control - Additional Funding	Track & Trace / Outbreak Mgt	The primary purpose of this fund is to support adult social care providers, including those with whom the local authority does not have a contract, to reduce the rate of COVID-19 transmission in and between care homes and support wider workforce resilience.	0.92
43,992.00	Test and Trace Grant	Track & Trace / Outbreak Mgt	The purpose of the grant is to provide support to local authorities in England towards expenditure lawfully incurred or to be incurred in relation to the mitigation against and management of local outbreaks of COVID-19.	1.86
44,112.00	Covid enforcement funding	Track & Trace / Outbreak Mgt	the purposes of compliance and enforcement of measures to control the spread of COVID-19	0.18
44,123.00	Contain Outbreak Mgt Fund (COMF)	Track & Trace / Outbreak Mgt	To support proactive containment and intervention measures under Medium, High & Very High Alert	4.03
43,975.00	Reopening high streets fund - Support businesses to enable safer trading in public areas	Track & Trace / Outbreak Mgt	Support for Covid-19 issues on our High Streets safety measures	0.24
44,110.00	Test and Trace Support - Programme Costs	Track & Trace / Outbreak Mgt	Administration Costs	0.04
	Test and Trace Support - Programme Costs	Track & Trace / Outbreak Mgt	Mandatory (£500/person told to isolate within mandatory category)	0.15
	Test and Trace Support - Programme Costs	Track & Trace / Outbreak Mgt	Discretionary costs (£500/person told to isolate not in the mandatory scheme category)	0.09
44,207.00	Test and Trace Support - Programme Costs	Track & Trace / Outbreak Mgt	Administration Costs	0.00
44,207.00	Test and Trace Support - Programme Costs	Track & Trace / Outbreak Mgt	Mandatory (£500/person told to isolate within mandatory category)	0.02
44,207.00	Test and Trace Support - Programme Costs	Track & Trace / Outbreak Mgt	Discretionary costs (£500/person told to isolate not in the mandatory scheme category)	0.17
Total		Track & Trace / Outbreak Mgt		8.71

25/03/2020	Share of £1.8bn	Business Support	S31 Grants (based on NNDR1) - normally paid in 12 instalments. Now to be paid in full 27 March	4.41
25/03/2020	Share of £1.8bn	Business Support	S31 Grants (based on NNDR1) - normally paid in 12 instalments. Now to be paid in full 27 March	2.34
25/03/2020	Expanded retail discount; nursery discount	Business Support	Expanded retail discount; nursery discount	46.15
	Business Support	Business Support	£10k & £25k grant schemes for business	63.00
15/07/2020	Local Authority Discretionary Grant Fund (LADGF)	Business Support	5% of the value of your Small Business Grant Fund (SBGF) and Retail, Hospitality and Leisure Grant Fund (RHLGF) in scope hereditaments as at 3 May.	3.15
02/11/2020	Additional Restrictions Support Grant	Business Support	To enable Local Authorities to support businesses more broadly	5.37
02/11/2020	Local Restrictions Support Grant (Closed)	Business Support	Business premises forced to close in England to receive grants worth up to £3k/month	5.13
02/11/2020	Local Restrictions Support Grant (Sector)	Business Support	Business premises forced to close in England which are nightclubs, hostess bars and sexual entertainment venues	
02/11/2020	Local Restrictions Support Grant (Open)	Business Support	Business premises forced to close in England to receive grants worth up to £3k/month	0.31
	CSP Wet Led Pubs Grant	Business Support	To help Support Wet Led pubs over Christmas	0.05
	Local Restrictions Support Grant (Closed) 16-19 December	Business Support	To enable Local Authorities to support businesses more broadly	2.39
	Local Restrictions Support Grant (Closed) Tier 4	Business Support	Business premises forced to close in England to receive grants worth up to £3k/month	2.93
	Local Restrictions Support Grant (Closed) Lockdown	Business Support	Business premises forced to close in England which are nightclubs, hostess bars and sexual entertainment venues	7.70
	Local Restrictions Support Grant (TopUp) Lockdown	Business Support	Business premises forced to close in England to receive grants worth up to £3k/month	15.39
Total		Business Support		158.33